

41154



JC05 Rec'd POSTED 02 APR 2002
PATENT

#8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karsten ROTHBARTH et al.

Group Art Unit: TBA

Serial No.: 09/701,618

Examiner: TBA

Filed: 1 December 2000

For: METHOD FOR TRIGGERING
APOPTOSIS IN CELLS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


In accordance with 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants hereby notify the Examiner of documents that may be deemed material to the examination of the above-identified application.

As the instant Information Disclosure Statement is being filed prior to issuance of a first Office Action on the merits, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(b) is required.

Also, all of the documents are in English and therefore, no brief statement of relevance is required.

Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the instant application.

Respectfully submitted,


David A. Basham
Reg. No. 45,869

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Dated: 02 April 2002

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

41154

SERIAL NO.

09/701,618

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

Karsten ROTHBARTH et al.

FILING DATE

01 December 2000

GROUP

TBA

U.S. PATENT DOCUMENTS

EXAMINER

INITIALS

DOCUMENT NUMBER

DATE

NAME

CLASS

SUBCLASS

FILING DATE
IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER

DATE

COUNTRY

CLASS

SUBCLASS

TRANSLATION

YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

Haataja et al., " Identification of a novel Rac3-interacting protein C1D" (Abstract)
Int J Mol Med (1998), 1(4):665-670.Yavuzer et al., " DNA end-independent activation of DNA-PK mediated via association
with the DNA-binding protein C1D" Genes and Development (1998), 12:2188-2199.Nehls et al., " cDNA cloning, recombinant expression and characterization of
polypeptides with exceptional DNA affinity" Nucleic Acids Research (1998),
26(5):1160-1166.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;
Draw Line through citation if not in conformance and not considered. Include copy of this form with
next communication to applicant.